

FORM PTO-100
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEYS EXCEL NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

4322/PCT

U.S. APPLICATION NO. (known or to be assigned)
10/088583

INTERNATIONAL APPLICATION NO.

PCT/DE00/02828

INTERNATIONAL FILING DATE

18. August 2000 (18.08.00)

PRIORITY DATE CLAIMED

18. September 1999 (18.09.99)

TITLE OF INVENTION

Filter Press

APPLICANT(S) FOR DO/EO/US

Wilhelm CLAESSEN; Karl GRAFEN; Hermann Josef SPOELGEN; Menrad WESP

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)) with Translator's Declaration.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, copy of International Search Report & English Version thereof.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment, to minimize the filing fee.
☒ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a) return receipt postcard;
 - b) Form PTO-2038;
 - c) 5 Figs. on 3 sheets of formal drawings

NOTE: The priority of German Patent Application 199 44 848.5, filed in the Federal Republic of Germany on September 18, 1999 is claimed for the under 35 U.S.C. §119,

NOTE: The entire disclosure of PCT International Application PCT/DE00/02828 is incorporated herein by reference.

NOTE: This Application has been assigned to: Outokumpu Oyj of: Riihitontuntie 7D, 02201 Espoo, Finland. The Assignment is being submitted herewith for recordal.

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MARCH 18 2002

US APPLICATION NO. (if known) 37 CFR 1.51 (unknown) <u>10/088583</u>		INTERNATIONAL APPLICATION NO. PCT/DE00/02828		ATTORNEY'S DOCKET NUMBER 4322/PCT																																																												
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$700.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY																																																												
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<table border="1"><thead><tr><th>CLAIMS</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th><th>RATE</th></tr></thead><tbody><tr><td>Total claims</td><td><u>14</u> - 20 =</td><td><u>0</u></td><td>X \$18.00</td></tr><tr><td>Independent claims</td><td><u>1</u> - 3 =</td><td><u>0</u></td><td>X \$78.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td>+ \$260.00</td></tr><tr><td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td><td>\$ 890.00</td></tr><tr><td colspan="3">Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td><td>\$ 0</td></tr><tr><td colspan="3">SUBTOTAL =</td><td>\$ 890.00</td></tr><tr><td colspan="3">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td>\$ 0</td></tr><tr><td colspan="3">TOTAL NATIONAL FEE =</td><td>\$ 890.00</td></tr><tr><td colspan="3">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td><td>+ \$ 40.00</td></tr><tr><td colspan="3">TOTAL FEES ENCLOSED =</td><td>\$ 930.00</td></tr><tr><td colspan="3"></td><td>Amount to be:</td><td>\$</td></tr><tr><td colspan="3"></td><td>refunded</td><td>\$</td></tr><tr><td colspan="3"></td><td>charged</td><td>\$</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	<u>14</u> - 20 =	<u>0</u>	X \$18.00	Independent claims	<u>1</u> - 3 =	<u>0</u>	X \$78.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	TOTAL OF ABOVE CALCULATIONS =			\$ 890.00	Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			\$ 0	SUBTOTAL =			\$ 890.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ 0	TOTAL NATIONAL FEE =			\$ 890.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$ 40.00	TOTAL FEES ENCLOSED =			\$ 930.00				Amount to be:	\$				refunded	\$				charged	\$		
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b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																																																																
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any/deficiency in or additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0207</u> . A duplicate copy of this sheet is enclosed.																																																																
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																
SEND ALL CORRESPONDENCE TO CUSTOMER NO.: 021553																																																																
Walter F. Fasse - 03/18/02 SIGNATURE <u>Walter F. Fasse</u> NAME 36132 REGISTRATION NUMBER																																																																

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